



**DEPARTMENT OF THE TREASURY**  
ALCOHOL AND TOBACCO TAX AND TRADE BUREAU  
8002 FEDERAL OFFICE BUILDING  
550 MAIN STREET  
CINCINNATI, OHIO 45202

**EXPERIMENTAL DISTILLED SPIRITS PLANT INFORMATION**

An experimental distilled spirits plant (DSP) may be established for specific and limited periods of time solely for experimentation in or development of sources of materials for producing spirits, processes for producing or refining spirits, or industrial uses of spirits. Experimental plants are authorized under the provisions of 27 CFR 19.32 and 19.33.

**STEP 1:**

If you believe you qualify for an experimental DSP and your plant will not be located in a residence or on property connected to a residence, you may submit a letterhead application that describes in detail your proposed operations and addresses each of the following points:

- The nature, extent and purpose of the experimental operations.
- The production operations and equipment you will use.
- The location of the plant (including the proximity to other premises or operations regulated by TTB).
- An explanation of the estimated length of time for the project.
- The security measures to be provided in connection with these operations.
- The name, telephone number and e-mail address for the primary contact person.

Please send your letterhead request to:

Alcohol and Tobacco Tax and Trade Bureau (TTB)  
Director, Regulations and Rulings Division  
1310 G Street N.W., Suite 200 E  
Washington, D.C. 20220

You will receive written notice from the Regulations and Rulings Division advising whether or not your application may be approved.

**STEP 2:**

If you receive written notice from the Regulations and Rulings Division that your application is approvable, you must complete and submit the following additional forms:

- TTB F 5110.56, Distilled Spirits Bond, in the amount specified by the Director, Regulations and Rulings Division.  
A listing of approved sureties that are authorized to underwrite bonds can be found on our website under "Quick Links" on the "Distilled Spirits" page.
- TTB F 5000.29, Environmental Information.
- TTB F 5000.30, Supplemental Information on Water Quality Considerations.
- TTB F 5100.1, Signing Authority for Corporate and LLC Officials, or TTB F 5000.8, Power of Attorney, as applicable, for the person who signs the bond and for any other persons who will have contact with our Bureau in matters related to the experimental plant operations.
- TTB F 5000.9, Personnel Questionnaire, for the person(s) responsible for the experimental plant operations.

## EXPERIMENTAL DISTILLED SPIRITS PLANT INFORMATION --CONTINUED

- All Step 2 forms should be submitted in duplicate with the exception of the Personnel Questionnaire Form 5000.9 which is needed in single copy only.
- Mail your completed forms along with a copy of your letterhead application and notice from the Regulations and Rulings Division to:

Alcohol and Tobacco Tax and Trade Bureau  
National Revenue Center  
550 Main Street, Suite 8002  
Cincinnati, Ohio 45202

If the premises to be used for this operation are eligible for the National Register of Historic Places, you should not begin construction or remodeling until you have contacted the State Historic Preservation Officer. Please see the information at [http://www.ttb.gov/applications/pdf/national\\_historic\\_preservation\\_act.pdf](http://www.ttb.gov/applications/pdf/national_historic_preservation_act.pdf).

The regulations governing experimental DSPs can be found on our website at <http://www.ttb.gov/spirits/spirits-regs.shtml>. Select "27 CFR Part 19" and open sections 19.32 and 19.33.

If you have questions or need assistance, you may contact the National Revenue Center at 877-882-3277.