DEPARTMENT OF THE TREASURY
ALCOHOL AND TOBACCO TAX AND TRADE BUREAU (TTB)
APPLICATION FOR AN ALCOHOL FUEL PRODUCER UNDER 26 U.S.C. 5181

INSTRUCTION SHEET FOR TTB FORM 5110.74

COMPLETE THIS FORM IN TRIPLICATE. SIGN ALL COPIES IN INK.
PLEASE READ CAREFULLY. AN INCOMPLETE OR INCORRECT APPLICATION WILL DELAY YOUR ALCOHOL FUEL PRODUCER'S PERMIT.

1. PURPOSE. The application is completed by a person (applicant) who would like to establish a plant to produce, process, and store, and use or distribute distilled spirits to be used exclusively for fuel purposes under 26 U.S.C. 5181. Distilled spirits means only ethanol or ethyl alcohol. The production of methanol does not require a permit from the Alcohol and Tobacco Tax and Trade Bureau. The production of distilled spirits from petroleum, natural gas, or coal is not allowed by the Alcohol Fuel Producer's Permit.

2. GENERAL PREPARATION. Prepare this form and any attachments in triplicate. Use separate sheets of approximately the same size as this form when necessary or as required. Identify these separate sheets with your name and attach to this form.

3. WHERE TO FILE. Submit application to Director, National Revenue Center, 550 Main St, Ste 8002, Cincinnati, OH 45202-5215. If required by your state, submit the designated copy of your approved application to the alcohol beverage agency or other State agency.

4. INFORMATION ABOUT APPLICANT CURRENTLY ON FILE WITH TTB NEED NOT BE RESUBMITTED. State in item requesting such information the type and the number of the license or permit for which the information was filed.

5. TYPE OF PLANT (ITEM 1). This item need only be completed on an original application or when the level of operation changes. Determine the type of plant on the basis of how many proof gallons of distilled spirits you intend to produce and receive during one calendar year. Proof gallons are calculated by taking the proof of the spirits multiplied by the wine gallons (a standard American gallon) and dividing by 100.

   Example:
   50 gallons of 190° proof spirits =
   190 times 50 divided by 100 = 95 proof gallons

6. AMENDED PERMIT (ITEM 2). Complete this item when changing the terms and conditions of an existing permit. Fill in only those sections being amended. (Refer to 27 CFR 19.919-19.930.)

7. CAPACITY OF STILLS (ITEM 11 (d)). The capacity of your still(s) in proof gallons equals the greatest number of proof gallons of spirits that could be distilled in a 24-hour period. The capacity of a column still may be shown by giving the diameter of the base and the number of plates or packing material. The capacity of a pot or kettle still may be shown by giving the volumetric (wine gallon) capacity of the pot or kettle.

8. SAMPLE OF DIAGRAM OF PREMISES (ITEM 14). The diagram of your plant premises may be drawn by hand and does not have to be drawn to scale. Below is a sample of such a diagram.

9. SIGNATURE OF/FOR APPLICANT (ITEM 18).

   a. Individual owners sign for themselves.

   b. Partnerships have all partners sign, or have one partner who has submitted an authorization to act on behalf of all the partners sign.

   c. Corporations have an officer, director, or other person who is specifically authorized by the corporate documents signs.

   d. Any other person who signs on behalf of the applicant must submit TTB F 5000.8, Power of Attorney, or other evidence of their authority.

10. ADDITIONAL INSTRUCTIONS FOR SMALL ALCOHOL FUEL PLANT APPLICANTS. Complete items 1-16 on the application form. Be sure that you sign and date the form in items 18 and 20, respectively. SKIP ITEM 17. NO ADDITIONAL INFORMATION IS REQUIRED. Prepare any attachments in accordance with instruction #2.

11. ADDITIONAL INSTRUCTIONS AND REQUIRED INFORMATION/FORMS FOR MEDIUM AND LARGE ALCOHOL FUEL PLANT APPLICANTS. Complete all items on the application form. Be sure to sign and date the form in items 18 and 20, respectively. Prepare all attachments in accordance with instruction #2. SUBMIT ADDITIONAL INFORMATION AND FORMS (ITEM 17) AS STATED BELOW:

    a. Show the following information for an individual proprietor, each partner, or each officer and director of a corporation or similar entity who will have responsibilities in connection with the operations covered by the permit. In addition, large alcohol fuel plant applicants must show the same information for each interested person who is listed as an individual in the statement of interest required by 27 CFR 19.916(b):

       (1) Full name including middle name;
       (2) Title in connection with applicant's business;
       (3) Social security number;
       (4) Date of birth;
       (5) Place of birth; and
       (6) Address of residence.

    b. A statement as to whether the applicant or any person required to be listed by the instructions above has been previously arrested or charged with, or convicted of a felony or misdemeanor under Federal or State laws (other than minor traffic violations).

    c. A statement of the maximum quantity of distilled spirits to be produced and received during a calendar year.

    d. A Distilled Spirits Bond, TTB Form 5110.56, as required by 27 CFR 19.955.

    e. Statement of the amount of funds invested in the business and the source of those funds.

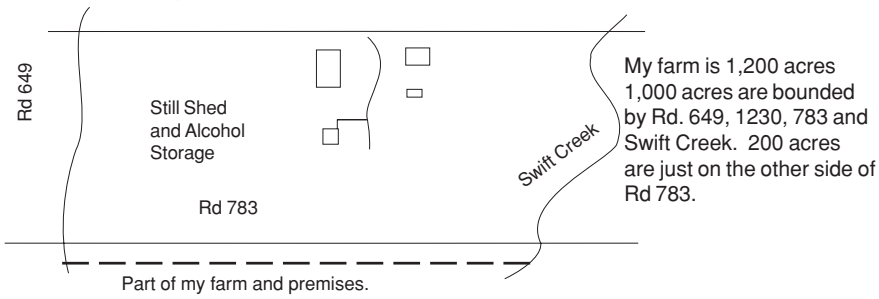
    f. Any other information required by the Director, National Revenue Center after examination of this application.

SPECIAL INSTRUCTIONS FOR ALL APPLICANTS

12. OPERATIONS BEFORE ISSUANCE OF PERMIT. Unless otherwise specifically authorized by law or regulations, an applicant for an alcohol fuel producer's permit may not engage in operations until a permit has been issued by the Director, National Revenue Center.

13. STATE AND LOCAL LAWS. This permit does not allow you to operate in violation of state or local laws. Applicants should check with the appropriate state and local authorities before engaging in alcohol fuel plant operations.

14. TTB FORMS AND REGULATIONS. TTB forms and regulations pertaining to alcohol fuel plants may be ordered by contacting the National Revenue Center at 1-877-882-3277 or from the TTB Web site at www.ttb.gov.



DEPARTMENT OF THE TREASURY
ALCOHOL AND TOBACCO TAX AND TRADE BUREAU (TTB)

APPLICATION FOR AN ALCOHOL FUEL PRODUCER PERMIT

(Prepare in Triplicate. See Instructions)

FOR TTB USE ONLY	
DATE RECEIVED	DATE RETURNED AFTER CORRECTIONS
PERMIT NUMBER	EFFECTIVE DATE

1. TYPE OF PLANT *(Check applicable box)*

(Complete for Original Application or when level of operation changes)

☐ SMALL - 10,000 Proof Gallons or Less*

☐ MEDIUM - More than 10,000 Proof Gallons but not more than 500,000*

☐ LARGE - More than 500,000 Proof Gallons*

*Proof Gallons to be produced and received during one calendar year *(See Instruction 5.)*

2. AMENDED PERMIT *(Check applicable box(es))*

(Change In)
☐ LEVEL OF OPERATIONS
(Increased operations by small and medium plants only.)
From _____
To _____

(Change In)
☐ NAME OF PROPRIETOR
☐ LOCATION OF PLANT
☐ OTHER *(Explain)*

PERMIT NO.	STATE
AFP-	

3. NAME OF OWNER *(If partnership, include name of each partner)*

4. DAYTIME TELEPHONE NUMBER *(Include area code and extension)*

5. EIN (If no SSN)

6. DATE OF BIRTH (Sole/Each Partner)

7. LOCATION *(If no street address show rural route)*

8. MAILING ADDRESS *(If different from plant location) (RFD or Street No., City, State, ZIP Code)*

9. PREMISES FOR ALCOHOL FUEL PLANT ARE *(Check applicable box)*

☐ OWNED BY THE APPLICANT *(Skip Item 10, go to Item 11)*

☐ NOT OWNED BY THE APPLICANT *(Complete Item 10)*

10. Officers of the Alcohol and Tobacco Tax and Trade Bureau, state and local Officers, are granted access to the premises described by this application for an Alcohol Fuel Producer's Permit.

NAME AND ADDRESS OF PROPERTY OWNER

SIGNATURE OF/FOR PROPERTY OWNER	DATE

11. STILLS FOR FUEL PRODUCTION ON PLANT PREMISES

STILL MANUFACTURER *(If owner is the manufacturer write "Owner")* *(a)*	SERIAL NUMBER OF STILL *(b)*	KIND OF STILL *(Charge, Chamber, Continuous Still, or other (Specify))* *(c)*	CAPACITY *(Proof Gallons)* *(See Instruction 7.)* *(d)*

12. BASIC MATERIALS *(Other than yeasts or enzymes)* TO BE USED IN PRODUCTION OF SPIRITS *(Check applicable box(es))*

☐ GRAIN *(Corn, Wheat, Sorghum, Barley, etc.)* OR STARCH PRODUCTS *(Potatoes, Sweet Potatoes, etc.)*

☐ SUGAR BASED CROPS OR PRODUCTS *(Cane Sugar, Sugar Beets, Molasses, Sweet Sorghum, Beet Fodder, etc.)*

☐ FRUITS OR FRUIT PRODUCTS *(Grapes, Peaches, Apples, etc.)*

☐ FORAGE CROPS *(Alfalfa, Sudan Grass, Forage Sorghum, etc.)*

☐ CROP RESIDUE *(Garbage or other refuse)*

☐ OTHER *(Specify)* _____

13. DESCRIPTION OF SECURITY MEASURES *(Such as use of locks, fences, building alarms, etc.)* TO PROTECT PREMISES, CONTAINER(S), STILL(S) AND BUILDING(S) WHERE SPIRITS ARE STORED

FOR QUESTIONS CONCERNING YOUR APPLICATION CONTACT THE TTB OFFICE BELOW:

DIRECTOR, NATIONAL REVENUE CENTER
ALCOHOL AND TOBACCO TAX AND TRADE BUREAU
550 MAIN ST, STE 8002
CINCINNATI, OH 45202-5215
TOLL-FREE 1-877-882-3277

PRIVACY ACT INFORMATION

The following information is provided pursuant to Section 3 of the Privacy Act of 1974 (5 U.S.C. 552(a)(e)(3)):

1. AUTHORITY. Solicitation of this information is made pursuant to 26 U.S.C. 5181. Disclosure of this information by the applicant is mandatory if the applicant wishes to obtain an Alcohol Fuel Producer's Permit.

2. PURPOSE. To determine the eligibility of the applicant to obtain an Alcohol Fuel Producer's Permit, to determine location and extent of the premises, and to determine whether the operations will be in conformity with law and regulations.

3. ROUTINE USES. The information will be used by TTB to make determinations set forth in paragraph 2. In addition, the information may be disclosed to other Federal, State, foreign and local law enforcement and regulatory agency personnel to verify information on the application where such disclosure is not prohibited by law. The information may be further disclosed to the Justice Department if it appears that the furnishing of false information may constitute a violation of Federal law. Finally, the information may be disclosed to members of the public in order to verify the information on the application where such disclosure is not prohibited by law.

4. EFFECTS OF NOT SUPPLYING REQUESTED INFORMATION. Failure to supply complete information will delay processing and may result in denial of the application.

The following information is provided pursuant to Section 7(b) of the Privacy Act of 1974:

Disclosure of the individual's social security number is voluntary. Pursuant to the statutes above, TTB is authorized to solicit this information. The number may be used to verify the individual's identity.

PAPERWORK REDUCTION ACT NOTICE

This request is in accordance with the Paperwork Reduction Act of 1995. The information is required to obtain a permit under 26 U.S.C. 5181.

The estimated average burden associated with this collection is 1 hour and 48 minutes per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be directed to Reports Management Officer, Regulations and Rulings Division, Alcohol and Tobacco Tax and Trade Bureau, Washington, D.C., 20220.

14. DIAGRAM OF PLANT PREMISES *(In the space provided or by attached map or diagram, show the area to be included for the alcohol fuel plant. Identify roads, streams, lakes, railroads, buildings, and other structures or topographical features on the diagram. Show location(s) where alcohol fuel plant operations will occur. The diagram should be in sufficient detail to locate your operations and premises.) (See instruction 8 for sample diagram.)*

15. I WILL COMPLY WITH THE CLEAN WATER ACT *(33 U.S.C. 1341(a)). (Will not discharge into navigable waters of the U.S.)*

☐ YES ☐ NO

16. IF THIS APPLICATION IS APPROVED AND THE PERMIT IS ISSUED, I CONSENT TO THE DISCLOSURE OF THE NAME AND ADDRESS SHOWN ON THE APPLICATION IN A TTB PUBLICATION, "ALCOHOL FUEL PRODUCERS", WHICH MAY BE DISTRIBUTED ON REQUEST TO THE GENERAL PUBLIC *(including media, business, civic, government agencies, and others).* UNDER 26 U.S.C. 6103 YOU HAVE A LEGAL RIGHT NOT TO GIVE THIS RELEASE.

☐ YES ☐ NO *(A no response will have no effect on the consideration given this application)*

17. MEDIUM AND LARGE ALCOHOL FUEL PLANT APPLICANTS MUST PREPARE AND ATTACH THE ADDITIONAL INFORMATION SPECIFIED IN INSTRUCTION 11.

Under the penalties of perjury, I declare that I have examined this application, including the documents submitted in support thereof or incorporated therein by reference, and, to the best of my knowledge and belief, it is true, correct, and complete.

18. SIGNATURE OF/FOR APPLICANT	19. TITLE *(Owner, Partner, Corporate Officer)*	20. DATE