

DEPARTMENT OF THE TREASURY
ALCOHOL AND TOBACCO TAX AND TRADE BUREAU (TTB)

MONTHLY REPORT OF PROCESSING OPERATIONS

1. Every proprietor engaged in processing operations must prepare this form each month in duplicate.
2. The proprietor must forward the original to the Director, National Revenue Center, on or before the 15th day of the month following the month for which prepared.
3. The copy is to be kept on file by the proprietor.
4. LOCATION OF PLANT

1. PLANT NUMBER	2. MONTH AND YEAR
3. NAME OF PROPRIETOR	
5. Employer Identification Number (EIN)	

PART I - BULK INGREDIENTS			PART II - FINISHED PRODUCTS		
TRANSACTION (a)	WINE (Proof gallons) (b)	SPIRITS (Proof gallons) (c)	TRANSACTION (a)	BOTTLED (Proof gallons) (b)	PACKAGED (Proof gallons) (c)
1. ON HAND FIRST OF MONTH	Line 25 of Previous report		27. ON HAND FIRST OF MONTH	Line 46 of Previous report	
2. RECEIVED (Other than line 3)		Line 9 Line 17 *	28. BOTTLED OR PACKAGED	Line 9(c)	
3. ALCOHOL FOR FUEL USE RECEIVED FROM CUSTOMS CUSTODY			29. RECEIVED		
4. ALCOHOL FLAVOR MATERIALS DUMPED			30. INVENTORY OVERAGES		
5. WINE MIXED WITH SPIRITS		Line 10 (b)	31. TOTAL - LINES 27 THROUGH 30		
6. DUMPED FOR FURTHER PROCESSING		Line 40	32. TRANSFERRED IN BOND		
7. GAINS			33. WITHDRAWN TAX DETERMINED	Actual removal	Show on tax return
8. TOTAL - LINES 1 THROUGH 7			34. WITHDRAWN FREE OF TAX: FOR U.S. HOSPITAL, SCIENTIFIC, OR EDUCATIONAL USE		
9. BOTTLED OR PACKAGED		Line 28	35. WITHDRAWN WITHOUT PAYMENT OF TAX: FOR ADDITION TO WINE		
10. WINE MIXED WITH SPIRITS	Line 5 (c)		36. FOR EXPORTATION, VESSELS, AND AIRCRAFT, AND TRANSFER TO C.B.W.		
11. USED FOR DENATURATION		Must file F 5110.43	37. TRANSFERRED TO PRODUCTION ACCOUNT FOR REDISTILLATION	Line 15	
12. TRANSFERRED IN BOND			38. WITHDRAWN FOR RESEARCH, DEVELOPMENT, OR TESTING (Including Gov. samples)		
13. WITHDRAWN TAX DETERMINED	If removed from processing	Show on tax return	39. DESTROYED		
14. WITHDRAWN FREE OF TAX: FOR U.S., HOSPITAL, SCIENTIFIC, OR EDUCATIONAL USE			40. DUMPED FOR FURTHER PROCESSING		Line 6
15. WITHDRAWN WITHOUT PAYMENT OF TAX: FOR ADDITION TO WINE			41.		
16. FOR EXPORTATION & TRANSFER TO C.B.W.			42.		
17. TRANSFERRED TO PRODUCTION ACCOUNT FOR REDISTILLATION		Line 15	43.		
18. WITHDRAWN FOR RESEARCH, DEVELOPMENT, OR TESTING (Including Gov. samples)			44. RECORDED LOSSES		
19. DESTROYED			45. INVENTORY SHORTAGES	Bottled shortage must be taxpaid	
20. USED FOR REDISTILLATION			46. ON HAND END OF MONTH	Line 27 on next Processing report	
21.			47. TOTAL - LINES 32 THROUGH 46		
22.					
23.					
24. LOSSES					
25. ON HAND END OF MONTH	Line 1 on next Processing report		*Bottled nonindustrial (beverage) use spirits may not be received or transferred in bond.		
26. TOTAL - LINES 9 THROUGH 25					

PART III - PUERTO RICAN AND VIRGIN ISLANDS SPIRITS (RUM) AND "OTHER" IMPORTED RUM¹

48. PROOF GALLONS REMOVED TAXPAID OR TAX DETERMINED (27 CFR 19.778)	(a) PUERTO RICAN SPIRITS	(b) VIRGIN ISLANDS SPIRITS	(c) "OTHER" IMPORTED RUM

PART IV - PROCESSING OF BEVERAGE (Nonindustrial use) SPIRITS

KIND (a)	BULK SPIRITS DUMPED INTO PROCESSING ² (Whole proof gallons) (b)	BOTTLED - IMPORTED ³ (Whole proof gallons) (c)	BOTTLED (Excluded bottled in bond and export) (Whole wine gallons) (d)	BOTTLED IN BOND ⁴ 27 CFR 5.42(b) (Exclude export) (Whole wine gallons) (e)	BOTTLED FOR EXPORT (Whole wine gallons) (f)
49. ALCOHOL AND NEUTRAL SPIRITS (Other than vodka)	Alcohol moved into processing account from the Storage Production or received transferred in Bond that is listed in Line 2 of this form. This column separates Line 2 into the separate types of alcohol. It can be listed in any of these blocks				
50. BLENDED STRAIGHT WHISKEY ⁵					
51. BLENDED WHISKEY:					
a. With neutral spirits ⁶					
b. With light whiskey ⁷					
52. BLENDED LIGHT WHISKEY ⁸					
53. ANY OTHER BLENDS OF 100% WHISKEY					
54. IMPORTED WHISKEY:					
a. Scotch					
b. Canadian					
c. Irish and Others					
55. DOMESTIC WHISKEY DISTILLED AT 160° AND UNDER					
56. DOMESTIC WHISKEY DISTILLED AT OVER 160°					
57. BRANDY DISTILLED AT 170° AND UNDER					
58. BRANDY DISTILLED AT OVER 170°					
59. SPIRITS (Rum) ¹ :					
a. Puerto Rican					
b. Virgin Islands					
60. RUM:					
a. Domestic					
b. "Other" Imported					
61. GIN					
62. VODKA					
63. CORDIALS, LIQUEURS, AND SPECIALTIES ⁹					
64. COCKTAILS AND MIXED DRINKS					
65. TEQUILA					
66.					
67. TOTAL - LINES 49 THROUGH 66					

This information comes from the bottled and packaged product on Line 9 and Line 28 of this report

UNDER PENALTIES OF PERJURY, I DECLARE that I have examined this report and, to the best of my knowledge and belief, it is a true and complete report.

DATE _____ PROPRIETOR _____ BY (Signature and Title) _____

¹Only products containing at least **92% RUM** should be recorded at line 48(a) and (b), and at lines 59 a and b.

²Do not include spirits that have been previously reported as dumped on dump/batch records and retained in processing (27 CFR 19.343).

³Include spirits from Puerto Rico and Virgin Islands. Imported spirits that are not allowed to be labeled as a product of a foreign country, Puerto Rican, or Virgin Islands spirits under 27 CFR Part 5 must be reported as domestic spirits.

⁴Bottled in bond spirits are those that conform to the standard prescribed by 27 CFR 5.42(b) and are labeled with the words "bond" "bottled in bond" "aged in bond" or similar phrases. Do not include imported spirits.

⁵Blends of straight whiskies, all of which are 2 years old or older; no neutral spirits; no light whiskey.

⁶Blended whiskey, containing at least 20% straight whiskey, and the balance, neutral spirits.

⁷Blended whiskey containing at least 20% straight whiskey, and the balance, light whiskey.

⁸Light whiskey blended with more than 2% but less than 20% straight whiskey; no neutral spirits.

⁹Includes flavored whiskies, gins, vodkas, brandies, etc.