

CHAPTER 5

NAME AND ADDRESS

PRINCIPAL PLACE OF BUSINESS

- GENERAL

The principal place of business is the address that appears on the basic permit

- USE

■For domestic distilled spirits

OR

For imported distilled spirits bottled, packed or filled **after** importation
labeled with the name and address of the U.S. bottler, packer or filler:

The principal place of business address may be used on labels in lieu of the address of the premise where the operation (e.g., bottling, distilling, production, etc.) specified on the label occurred

■For imported distilled spirits bottled, packed or filled **prior** to importation

OR

For imported distilled spirits bottled, packed or filled **after** importation
labeled with the name and address of the U.S. importer:

The principal place of business address must be used on the label

- CONDITIONS FOR USE

■The address where the operation occurred must be indicated by printing, coding or other markings on the label or container

AND

■The proprietor of the distilled spirits plant must file, prior to use, a notice explaining the coding system with:

Chief, National Revenue Center
8002 Federal Office Building
550 Main Street
Cincinnati, Ohio 45202-3263

NOTE: These two conditions for use apply only to domestic distilled spirits plants; they do not apply to U.S. importers

CONTRACT BOTTLING

- GENERAL

Contract bottling refers to the bottling, packing or filling of a distilled spirits product by a domestic distilled spirits plant for an unrelated domestic distilled spirits plant

Example: A Spirits is a distilled spirits plant located in Newark, New Jersey. A Spirits currently bottles its line of spirits products only in 750 ml bottles. The company would like to expand its size offerings to include 50 ml and 1 liter bottles. It is not, however, equipped to bottle in these sizes. Rather than re-outfitting its plant, A Spirits elects to have other distilled spirits plants do the bottling for it. A Spirits contracts with B Spirits, Cleveland, Ohio to bottle the 50 ml size and C Spirits, Portland, Oregon to bottle the 1 liter size

The three companies are unrelated; B Spirits and C Spirits are **contract bottling** for A Spirits

- LABEL NAME AND ADDRESS STATEMENT

There are several options for the required name and address statement on the label of contract bottled distilled spirits. You may use:

■The **name** of the distiller, blender, maker, preparer, manufacturer or producer **AND** the **address** of the premise where the production or blending operations occurred

OR

■The **name** of the distiller, blender, maker, preparer, manufacturer or producer **AND** the principal place of business **address** (see “PRINCIPAL PLACE OF BUSINESS” section of this chapter) of the distiller, blender, maker, preparer, manufacturer or producer

OR

■The **name** of the bottler, packer or filler **AND** the **address** of the premise where the bottling, packing or filling operations occurred

OR

- The **name** of the bottler, packer or filler **AND** the principal place of business **address** (see "PRINCIPAL PLACE OF BUSINESS" section of this chapter) of the bottler, packer or filler

OR

- The **name** of the company for whom the distilled spirits were bottled, packed or filled **AND** the principal place of business **address** of the company for whom the distilled spirits are bottled, packed or filled. The contract bottler may exercise this option **only if:**

The company for whom the distilled spirits are bottled, packed or filled is qualified as a distilled spirits plant

The contract bottler's permit is amended to include the name of the company for whom the distilled spirits are bottled, packed or filled as a trade name

The address where the bottling, packing or filling operations occurred is indicated by printing, coding or other markings on the label or container

AND

The contact bottler files, prior to use, a notice explaining the coding system with:

Chief, National Revenue Center
8002 Federal Office Building
550 Main Street
Cincinnati, Ohio 45202-3263