

Formulas Online

Create a New Uniform Submission

Follow these steps to create a new Formula and Process for Domestic and Imported Alcohol Beverages (Uniform) submission:

1. Select **Formula and Process for Domestic and Imported Alcohol Beverages** from the New drop-down menu. See Figure 1.

Figure 1: Create a New Uniform – Select New Drop-Down Menu



The Company modal window displays. See Figure 2.

Figure 2: Create a New Uniform – Select Company Product Source

Create New or Superseding Formula		×
* fields are required.		
* Product Source:	Domestic Import For Export Only	
* Action:		
	Continue Cancel	

2. Select **Domestic, Import,** or **Export Only** from the Product Source drop-down list. The Permit Number field displays. See Figure 3.

Figure 3: Create a New Uniform – Select Company Permit Number

Create New or Superseding Formula		×
* fields are required.		
* Product Source:	Domestic V	
* Permit Number:	Select Permit Number POM WINERY, LLC - BWN-MA-15555 - ACT POM VINEYARD INC - OH-W-999 - ACT POM RIVER BREWING COMPANY - BR-ME-1111- ACT	
* Action:	● Create New Formula ○ Supersede Existing Formula	
	Continue Cancel	

3. Select the permit number for the company for which you are creating this submission. The system pre-populates the company address information.

► Note: If you select **Domestic** or **For Export Only** as the product source, the Permit Holder and Submitter mailing address information displays. See Figure 4. If you select **Importer** as the product source, the Foreign Manufacturer address information also displays. See Figure 5.

Create New or Superseding Formula	×
 fields are required. 	
* Product Source:	Domestic V
* Permit Number:	POM VINEYARD INC - OH-W-999 - ACT
Permit Holder	Address:
Name:	POM VINEYARD INC
Street:	7777 STEEL CORNER RD
City:	CUYAHOGA FALLS
State:	OH
Zip:	44223-3116
Submitter Mail	ling Address:
Address Format:	USPS Domestic V
* Street:	1310 G Street NW
* City:	Washington
* State:	DC V
* Zip:	20005 -
* Action:	$ullet$ Create New Formula \bigcirc Supersede Existing Formula
	Continue Cancel

Figure 4: Create a New Uniform – Company (Domestic and For Export Only)

Create New or Superseding Form	Create New or Superseding Formula				
• fields are required.					
* Product Source:	Import V				
* Permit Number:	POM USA, INC - NC-I-888 - ACT				
Permit Holder Add	ress:				
Name:	POM USA, INC				
	777 MAIN ST				
- ··· j ·	LENOIR				
	NC				
Zip:	28645-5418				
Submitter Mailing	Address:				
Address Format:	USPS Domestic V				
* Street:	1310 G Street NW				
* City:	Washington				
* State:					
* Zip:	20005 -				
Foreign Manufact	urer Address:				
Address Format:	Foreign Address				
* Name:					
Street:					
City:					
Country:					
Region:					
Province:					
Foreign Postal Code:					
* Action:	● Create New Formula ○ Supersede Existing Formula				
	Continue Cancel				

Figure 5: Create a New Uniform – Company (Import)

- 4. Edit Submitter mailing address information or Foreign Manufacturer address information if applicable.
- ► Note: Fields marked with asterisks (*) are required fields.
- ► Note: Permit Holder address information is <u>not</u> editable.

► Note: By default, the Create New Formula radio button is selected. If you wish to supersede an existing formula, select the Supersede Existing Formula radio button. You will be prompted to populate the information noted in <u>Superseded Formula</u>.

5. Select the **Continue** button. The Company modal window closes and the Company tab displays with the address information added. See Figure 6.

Company/Address Detail

Figure 6: Create a New Uniform – Company Tab with Company Address Added

niform 0 Main Formula Samples	Company Comments Docs/Links				
nt <u>Comment</u> <u>Upload</u>					
Company/Address Detail	PERMIT NUMBER/NAME	Address	PHONE NUMBER	Franz Davr	END DATE
Manufacturer	OH-W-9999 - POM VINEYARD INC	7777 STEEL CORNER RD,		04/23/2015	END DATE
Mailing	Jane Smith	CUYAHOGA FALLS, OH, 44223-3116 1310 G Street NW, Washington, DC, 20005 Delete	2024532000		
erjury Statement					
	ry, I declare that all the statements appearing on this applic tify that I have read, understood, and complied with the cor			correct to the bes	st of my
	Save as Draft Validate Ca	ancel Submit Next »			

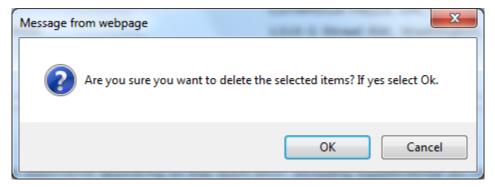
► Note: Whenever you see a Company or Address screen, you will also see the Start Date and End Date fields. These fields indicate the dates during which the entity (generally the Manufacturer) had ownership of a given submission or formula and/or when a given address was active and/or valid (for any submission type). The majority of submissions will never show an End Date. End Dates are generated only when formulas are adopted by, transferred to, or removed from, a given company. This is referred to as formula adoption.

► Note: Please contact the ALFD directly if you need assistance with formula adoption. Your e-mail request should include information for both the sending and receiving companies (company names, company codes, permit numbers, formula numbers, and dates of adoption, removal, or transfer) involved for both the sending and receiving companies.

1. Confirm the Company/Address Detail information.

► Note: Select the <u>Address Type</u> link to edit any necessary information for the address type. You cannot edit the Manufacturer address, but you may add a different one and then delete the one you have selected. Select the checkbox next to the address and select the **Delete** button to delete the address. A confirmation message box displays prompting you to confirm your action. See Figure 7. Select the **OK** button to confirm.

Figure 7: Create a New Uniform – Delete Address Confirmation



2. Select the **Add** button to add a new address. The Address modal window displays. See Figure 8.

Figure 8: Create a New Uniform – Address Modal Window

Company		×
Address		
 fields are required. * Address Type: 		
	OK Cancel	

3. Select the address type from the Address Type drop-down list. The system displays the address fields. See Figure 11.

► Note: The address type options available depend on the product source you selected earlier. See Figure 9 for the address type options available for Domestic and For Export Only. See Figure 10 for address type options available for Import.

Figure 9: Create a New Uniform – Address Type (Domestic and For Export Only)

Contact
Mailing
Manufacturer
Applicant

Figure 10: Create a New Uniform – Address Type (Import)

- Contact Foreign Manufacturer Mailing Manufacturer Applicant Importer
- 4. Enter the company address information in the available fields. See Figure 11. If you select **Foreign** for Address Format, additional address fields display. See Figure 12.

Figure 11: Create a New Uniform – Address Fields (Domestic)

Company	×
Address	
 fields are required. 	
* Address Type:	Applicant V
Address Format:	USPS Domestic 🗸
* First Name:	
* Last Name:	
Street:	
City:	
State:	\sim
Zip:	
	OK

Company		×
Address		
 fields are required. 		
Address Type:	Foreign Manufacturer 🗸	
Address Format:	Foreign V	
Foreign * Manufacturer Name:		
Street:		
City:		
Country:	×	
Region:		
Province:		
Foreign Postal Code:		
	OK	~

Figure 12: Create a New Uniform – Address Fields (Foreign)

► Note: Fields marked with asterisks (*) are required fields.

- 5. Select the **OK** button. The Address modal window closes and the Company tab displays with the address information added.
- 6. Repeat the steps for all additional addresses needed for the submission.

▶ Note: If you add any company for which you are <u>not</u> an authorized Submitter, even after you have either started with or entered one for which you <u>are</u> an authorized Submitter, you will <u>not</u> be able to submit the submission. You will only be able to save as draft.

► Note: Available and/or required addresses vary depending on type of Uniform submission being entered. Imported submissions have two additional address types: Foreign Manufacturer and Importer.

7. Select the Next button. The Main tab displays. See Figure 14.

► Note: For convenience, the system displays Contacts information at the bottom of the Main tab as well as on the Company tab. See Figure 13.

Contacts

Figure 13: Create a New Uniform – Contacts

Contacts 0			
Address Type	Name	Telephone	E-mail Address
Contact	Anna Sari	202-453-2000	

- 1. Confirm Contacts information (if any).
- 2. Add, edit, or delete Contacts through the Company tab if applicable following the steps in <u>Company/Address Detail</u>.

Main Tab

	Company Comments ersede Existing Formula ompany Name: NOM VINEYARD INC	Company Formula #: OH-W-99 Product Source: Domestic	99 v]-	
Create New Formula O Supe TTB Formula ID: Company ID: C OH-W-999 P Commodity:	company Name: POM VINEYARD INC		99 ♥	
TTB Formula ID: Company ID: C OH-W-999 P Commodity:	company Name: POM VINEYARD INC		99 ♥	
Company ID: C OH-W-999 P Commodity:	POM VINEYARD INC		99 🗸 -	
	\checkmark	* Desident Comment		
		Product Source: Domestic	V	
Type Description:	250 characters left	Ŷ		
Contacts 0 Address Type Contact	Name Anna Sari	Telephon 202-453-2		E-mail Address Anna.Sari@ttb.gov
	rtify that I have read, understood	ppearing on this application, including suppleme d complied with the conditions and instructions for as Draft Validate Cancel Submit		correct to the best of my

Figure 14: Create a New Uniform – Main Tab

► Note: Fields marked with asterisks (*) are required fields.

- 1. Create a new formula or supersede an existing formula.
 - a. If you are creating a new formula:
 - i. Enter the Company Formula Number in the text box to the right of the Permit Number. *This must be a numeric value*.
 - b. If you are superseding an existing formula:

i. Select the Supersede Existing Formula radio button. The Supersedes Formula fields display below the radio buttons. See Figure 15.

Superseded Formula

▶ Note: You may change the submission from Supersede to New by selecting the Create New Formula radio button. You will <u>not</u> be able to change the submission from Supersede to New until you delete any superseded formula information.

► Note: A single formula may supersede multiple formulas.

Figure 15: Create a New Uniform – Supersedes Formula Fields

Supersedes Formula		
COMPANY FORMULA #	TTB FORMULA ID	
Add Delete		

1. Select the Add button. The Superseded Formula modal window displays. See Figure 16.

Figure 16: Create a New Uniform – Superseded Formula Modal Window

Supersedes Formulas			×
Superseded Forn	nula		
- Superseded Formu	ıla — — — — — — — — — — — — — — — — — — —		
TTB Formula ID:			
Permit Number:	OR	Company Formula #:	
		OK Cancel	

2. Enter the TTB Formula ID.

or

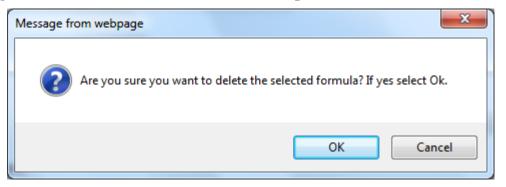
- 3. Select the Company Code and enter the Company Formula #.
- 4. Select the **OK** button. The Superseded Formula modal window closes and the Main tab displays with the superseded formula added. See Figure 17.

Figure 17: Create a New Uniform – Superseded Formula Added

COMPANY FORMULA	#	TTB FORMULA ID			
OH-W-999-11111					
Add Delete					
TB Formula ID:			Company Formula #:	t: OH-W-999 ❤-11111	
Company ID:	Company Name:				
OH-W-999	POM VINEYARD INC				

▶ Note: Select the checkbox next to the superseded formula and select the **Delete** button to delete the superseded formula. A confirmation message box displays prompting you to confirm your action. See Figure 18. Select the **OK** button to confirm.

Figure 18: Create a New Uniform – Delete Superseded Formula Confirmation



► Note: You may add additional superseded formulas by selecting the Add button to display the Superseded Formula modal window and following Steps 1 - 4.

Class/Type

Figure 19: Create a New Uniform – Class/Type Specified

niform 🧕					
Main Formula	Samples	Company	Comments	Docs/Links	
int <u>Comment</u>	Upload				
●Create New Form	ula Osupe	rsede Existing	g Formula 🛿		
TB Formula ID:				* Company Formula #: OH-W-999 V	
ompany ID: H-W-999	Company POM VINE				
ommodity:	Wine 🗸			* Product Source: Domestic	
Product Name:	POM WIN	E			
lass/Type: 😧	IMITATIO	N WINE		✓	
Type Description:		ATION WINE	$\langle \rangle$		
-Contacts 0					
Address Type		Nam		Telephone E-mail Address	
Contact Perjury Stateme		Anna	Sari	202-453-2000 Anna.Sari@ttb.gov	
Under the per	alties of perju			ents appearing on this application, including supplemental documents, are true and correct to the best of my d, and complied with the conditions and instructions for filing this application.	
		« Pr	revious	Save as Draft Validate Cancel Submit Next »	

1. Select the options from the drop-down lists.

- a. Commodity Type
 - Malt
 - Wine
 - Distilled Spirits

► Note: Commodity types are available in this drop-down list according to whether the specific manufacturer produces that commodity type.

- b. Product Source
 - Domestic
 - Import
 - For Export Only
- c. Class/Type

► Note: Class/Type options vary depending on commodity selected.

- 2. Enter the Product Name and Type Description in the available fields.
- 3. Select the Next button. The Formula tab displays. See Figure 20.

Summary

Figure 20: Create a New Uniform – Formula Tab (Volume/Weight Measurement Type)

iform ø			
Main Formula Samples	Company	Comments	Docs/Links
nt <u>Comment</u> <u>Upload</u>			
Summary @ * Measurement Type:		0.	Percentage
* Measurement Units:			English O Metric
* Total Yield:			✓

Figure 21: Create a New Uniform – Formula Tab (Percentage Measurement Type)

niform 🛛	
Main Formula Samples	Company Comments Docs/Links
rint <u>Comment</u> <u>Upload</u>	
- Summary @	
 Measurement Type: * Total Yield: 	Percentage Volume/Weight 100 Percentage

1. Enter the Summary information in the available fields.

► Note: Fields marked with asterisks (*) are required fields.

- a. Measurement Type
 - Percentage
 - Volume/Weight
- b. Measurement Units
 - English
 - o Gallons
 - o Barrels
 - Metric
 - o Milliliters
 - o Liters

► Note: Measurement Type and Measurement Units will pre-populate the <u>type</u> of Units of Measurement used in the Ingredients pop-up windows.

► Note: When the Product Source is "Domestic," then the unit of measure should be "English." When the Product Source is "Import," then the unit of measure should be "Metric."

- c. Total Yield
- d. Alcohol Content of Finished Product
 - Low
 - High
 - Unit
 - o % by Volume
 - o Proof

Ingredients

FERMENTABLE INGREDIENTS	V							
			QUANTIT	ry				
NAME	GROUP	Low	Нісн	UNIT				
POM FERMENTED SEEDS	5	1.0	5.0	tbsp				
FINISHED ALCOHOL 😧								
			QUANTITY-			ALCOHOL BY VOLUME	ттв	
NAME	GROUP	Low	Нідн	UNIT	Low	Нідн	Formula ID	Сомморт
POM ALCOHOL CONCENT	RATE	1.0	10.0	tsp.	1	0		Wine
FLAVORS 😧								
			QUANTITY-				Сомрану	TTB
NAME	GROUP	Low	Нідн	UNIT	Түре	COMPND?	FORMULA #	Formul ID
POM SAFFRON FLAVOR		1.0	100.0	oz.	Natural		-	
OTHER INGREDIENTS								
		-	QUANTITY					
NAME	GROUP	Low	Нісн	UNIT	Түре	DESCRIPTION		
POM EXTRACTED CONCENTRATE		1.0	10.0	c.	Other			

Figure 22: Create a New Uniform – Ingredients

Specify the kind and quantity of each and every material or ingredient to be used in the formulation of a batch of the product, e.g., 100 gallons, 1000 gallons, 100 barrels, etc.

Identify all coloring agents added directly to the product or contained in flavor materials. Certified food colors must be identified by FD&C number, for example "FD&C Yellow No. 5."

Identify flavoring or blending materials by the name of the flavor or blender, name of the flavor or blender proprietor, proprietor product number (if none, so indicate), drawback formula number (if none, so indicate), city and state of the flavor manufacturer, date of approval of the nonbeverage formula, alcohol content of the flavor or blender (if nonalcoholic, so indicate), and a description of any coloring material contained in the flavor or blender.

Identify all allergens added directly to the product or contained in the flavor materials. Allergens may include Crustacean shellfish, fish, soy (soybean(s), soya), wheat, milk, eggs, peanuts, or tree nuts. For shellfish and tree nuts, the label and formula must indicate the specific type or species.

Identify the use of aspartame. Approved for use in malt beverages only.

Distilled Spirits Only

If any type of wine (including vermouth) is to be used in the product, state the kind, percentage of wine to be used, whether the wine is domestic or imported, whether the wine contains added wine spirits, and the percentage of alcohol by volume of the wine.

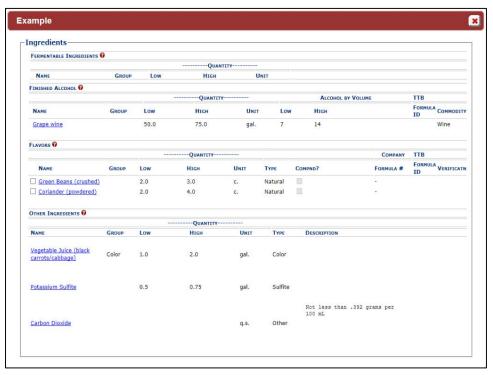
If the finished product is to be labeled as containing a particular class and type of distilled spirits (such as "Blackberry Liqueur & Brandy" or "Coffee Liqueur & Non-Dairy Creamer") the ingredients used to produce the particular class and type of distilled spirits must be listed in a manner so that they are distinguishable from the remaining ingredients for the finished product.

See Figure 23 – Figure 25 for Distilled Spirits, Wine, and Malt Beverage ingredient examples.

FERMENTABLE INGREDIENT	0							
			QUAN	TITY				
NAME	GROUP	Low	Нісн	U	NIT			
FINISHED ALCOHOL								
			QUANTITY	/		ALCOHOL BY V	OLUME	ттв
NAME	GROUP	Low	Нісн	Unit	Low	Нісн		FORMULA COMMODIT
Grain Neutral Spirits		100.0	200.0	gal.	75	80		Distilled Spirits
Grape wine		35.0	40.0	gal.	7	14		Wine
Rum		35.0	45.0	gal.	40	50		Distilled Spirits
FLAVORS 8								
			QUANTITY				COMPANY	ттв
NAME	GROUP	Low	Нідн	UNIT	Түре	COMPND?	FORMULA #	FORMULA VERIFICATI
Raspberry Puree		25.0	50.0	lb.	Natural		1.000	
Raspberry Flavor		2.0	3.0	gal.	Natural	V	FLAV- 1195666	
OTHER INGREDIENTS								
			QUANTITY					
NAME	GROUP	Low	HIGH	UNIT	Туре	DESCRIPTION		
FD&C yellow 5		1.0	5.0	gal.	Color	Coloring Materia	1	

Figure 23: Distilled Spirits Ingredient Example





FERMENTABLE INGREDIE	0							
FERMENTABLE INGREDIE	NIS U		QUANTITY					
NAME	GROUP	Low	HIGH		NIT			
Malted Barley	Grains	250.0	500.0	lb				
Rice	Grains	200.0	400.0	Ib				
Wheat	Grains	150.0	250.0	Ib				
FINISHED ALCOHOL								
			QUANTITY			ALCOHOL BY V	OLUME	ттв
NAME	GROUP	Low	Нісн	UNIT	Lo	w Нісн		FORMULA ID
FLAVORS 😧								
			QUANTITY				COMPANY	ттв
NAME	GROUP	Low	Нідн	UNIT	Туре	COMPND?	FORMULA #	FORMULA VERIFICATE
OTHER INGREDIENTS 8								
			QUANTITY					
NAME	GROUP	Low	Нісн	UNIT	Туре	DESCRIPTION		
Hops		25.0	30.0	lb.	Other			
Caramel Color		1.0	2.0	lb.	Color			

Figure 25: Malt Beverage Ingredient Example

► Note: If the Commodity Type is "Wine" or "Distilled Spirits," you will see Fermentable Ingredients, Finished Alcohol, Flavors, and Other Ingredients. If Commodity Type is "Malt," you will <u>not</u> see Finished Alcohol.

Follow these steps to add ingredients information to the submission:

- 1. Enter all ingredients information.
 - a. Ingredients (Fermentable Ingredient)
 - b. Ingredients (Finished Alcohol Ingredient)
 - c. Ingredients (Flavor Ingredient)
 - d. Ingredients (Other Ingredient)

Ingredients (Fermentable Ingredient)

Figure 26: Create a New Uniform – Fermentable Ingredient Modal Window

Add Ingredient		×
Ingredient		
Fermentable Ingredie	nt Information	
 Name: Quantity: Unit of Measure: Group Name: Group Description: 	Percentage V	
TYPE	NAME DATE	
	OK Cancel	

Follow these steps to add fermentable ingredient information to the submission:

- 1. Select the **Add** button in the Fermentable Ingredients section. The Fermentable Ingredient modal window displays. See Figure 26.
- 2. Enter the fermentable ingredient information in the available fields.
- ► Note: Fields marked with asterisks (*) are required fields.
- ► Note: You may enter a Quantity (Low) value of 0 (Zero).
- 3. Select the **OK** button. The Fermentable Ingredient modal window closes and the Formula tab displays. The ingredient is added in the Fermentable Ingredients section. See Figure 27.

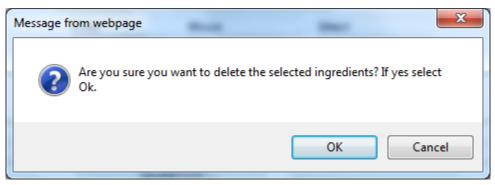
Figure 27: Create a New Uniform – Fermentable Ingredients Information Added

			QUANTITY	
NAME	GROUP	Low	Нісн	UNIT
POM Fermented Seeds		1.0		Percentage

4. Repeat the steps to add all fermentable ingredient information to the submission.

► Note: Select the <u>Ingredient Name</u> link to display the Fermentable Ingredient modal window and edit the ingredient. Select the checkbox next to the fermentable ingredient and select the **Delete** button to delete the ingredient. A confirmation message box displays prompting you to confirm your action. See Figure 28. Select the **OK** button to confirm.

Figure 28: Create a New Uniform – Delete Fermentable Ingredient Confirmation



► Note: See <u>Add/Edit Attachments (for all ingredients)</u> to attach a file specific to this ingredient.

Ingredients (Finished Alcohol Ingredient)

Figure 29: Create a New Uniform – Finished Alcohol Ingredient Modal Window

Add Ingredient		
Finished Alcohol Ingre	edient Information	
Manufacturer Name:		
* Ingredient Name:		
* Quantity:	-	
* Unit of Measure:	Percentage V	
* Alcohol By Volume:		
Proof at Distillation:		
* Commodity:		
Process Description:		
	0	
	`	
	4000 characters left	
Group Name:		
Group Description:		
-Ingredient Docume	nts	
Туре	Nаме Dате	
	OK Cancel	
	OK	

Follow these steps to add finished alcohol ingredient information to the submission:

- 1. Select the **Add** button in the Finished Alcohol section. The Finished Alcohol Ingredient modal window displays. See Figure 29.
- 2. Enter the finished alcohol ingredient information in the available fields.
- ► Note: Fields marked with asterisks (*) are required fields.
- ► Note: You may enter a Quantity (Low) value of 0 (Zero).

3. Select the **OK** button. The Finished Alcohol Ingredient modal window closes and the Formula tab displays. The ingredient is added in the Finished Alcohol section. See Figure 30.

Figure 30: Create a New Uniform – Finished Alcohol Information Added

		QUANTITY			ALCOHOL BY VOLUME	TTB	
	GROUP	Low	Нідн	UNIT	Low	Нісн	FORMULA ID
POM Alcohol Concentrate		1.0		Percentage	1	0	Wine
		Group	Ungroup Add	Delete	Add Att	achment	

4. Repeat the steps to add all finished alcohol ingredient information to the submission.

► Note: Select the <u>Ingredient Name</u> link to display the Finished Alcohol Ingredient modal window and edit the ingredient. Select the checkbox next to the finished alcohol ingredient and select the **Delete** button to delete the ingredient. A confirmation message box displays prompting you to confirm your action. See Figure 31. Select the **OK** button to confirm.

Figure 31: Create a New Uniform – Delete Finished Alcohol Ingredient Confirmation

Message fr	om webpage			x
?	Are you sure you v Ok.	want to delete the sel	lected ingredients?	If yes select
			ОК	Cancel

► Note: See <u>Add/Edit Attachments (for all ingredients)</u> to attach a file specific to this ingredient.

Ingredients (Flavor Ingredient)

Figure 32: Create a New Uniform – Flavor Ingredient Modal W	indow
---	-------

Add Ingredient	
ngredient	
-Flavor Ingredient Informa	ation
Compound Flavor?	
TTB Formula ID:	Clear
Company Code:	
Company Formula ID:	
Flavor Manufacturer Name:	
* Flavor Name:	
* Quantity:	
* Unit of Measure:	Percentage V
* Type:	`
Group Name:	
Group Description:	
-Ingredient Documents	
Туре	Name Date
	Find FID
	OK Cancel

Follow these steps to add flavor ingredient information to the submission:

- 1. Select the **Add** button in the Flavors section. The Flavor Ingredient modal window displays. See Figure 32.
- 2. Enter the flavor ingredient information in the available fields.
- ► Note: Fields marked with asterisks (*) are required fields.
- ► Note: You may enter a Quantity (Low) value of 0 (Zero).

► Note: If you select the "Compound Flavor?" checkbox, you may specify a TTB Formula ID.

► Note: If the flavor alcohol ingredient is a previously submitted formula, this allows you to search for a submission and populate the existing formula information (e.g., TTB Formula ID, Permit Number, and Company Formula ID).

3. *Optional Step:* Select the **Select** button next to TTB Formula ID. The Search Formulas popup window displays. See Figure 33.

	1 1	
Search Form	ulas	
TTB Formula ID:]
Company Name:		
Company Code:		
Company Formula Number:]
Sear	rch Cancel	

Figure 33: Create a New Uniform – Search Formulas Pop-Up Window

- a. Enter the search criteria in the available fields.
- b. Select **Search** button. The Formulas Search Results pop-up window displays. See Figure 34.

Figure 34: Create a New Uniform – Formulas Search Results Pop-Up Window

F	ormulas Se	arch Resul	ts		^
	TTB Formula ID	Company Code	Permit Number	Company Formula #	
	1268963	POMADD		123456	
		Clear	Cancel		

c. Select the <u>TTB Formula ID</u> link. The Formulas Search Results pop-up window closes and the Flavor Alcohol Ingredient modal window displays. The TTB Formula ID, Permit Number, and Company Formula ID fields are populated. Select the **Clear** button to clear the fields.

► Note: If you select the "Compound Flavor?" checkbox, you should upload a FID Sheet unless one has already been uploaded for this ingredient in a previous submission. This is not required but doing so would expedite the processing of the formula.

4. *Optional Step:* In the Ingredient Documents section, select the **Find FID** button to locate a FID sheet for this ingredient from a previous submission. The associated FID sheet displays, if found.

▶ Note: If there is no FID sheet found, a warning message box displays recommending that you upload a FID sheet. This warning message box does not display if a FID sheet has already been uploaded for this ingredient in a previous submission. See Figure 35.

Figure 35: Create a New Uniform – No FID Sheet Found Error



- 5. *Optional Step:* Attach a FID sheet or other document specific to this ingredient. See <u>Add/Edit Attachments (for all ingredients)</u>.
- 6. Select the **OK** button. The Flavor Ingredient modal window closes and the Formula tab displays. The ingredient is added in the Flavors section. See Figure 36.

Figure 36: Create a New Uniform – Flavor Ingredient Information Added

			QUANTITY				COMPANY	TTB
	GROUP	Low	Нісн	UNIT	Түре	COMPND?	Formula #	
POM Saffron Flavor		1.0		Percentage	Natural		-	

7. Repeat the steps to add all flavor ingredient information to the submission.

▶ Note: Select the Ingredient Name link to display the Flavor Ingredient modal window and edit the ingredient. Select the checkbox next to the flavor ingredient and select the **Delete** button to delete the ingredient. A confirmation message box displays prompting you to confirm your action. See Figure 37. Select the **OK** button to confirm.

Figure 37: Create a New Uniform – Delete Flavor Ingredient Confirmation

Message fr	rom webpage
?	Are you sure you want to delete the selected ingredients? If yes select Ok.
	OK Cancel

► Note: See <u>Add/Edit Attachments (for all ingredients)</u> to attach a file specific to this ingredient.

Ingredients (Other Ingredient)

Figure 38: Create a New Uniform – Other Ingredient Modal Window

Add Ingredient		×
ngredient		
Other Ingredient Inform	nation	
* Ingredient Name:		
* Quantity:	-	
* Unit of Measure:	Percentage V	
* Type:	\checkmark	
Type Description:	\sim	
	250 characters left	
Group Name:		
Group Description:		
Ingredient Docume	nts	
Туре	Nаме Date	
	OK Cancel	
	Cancer	

Follow these steps to add other ingredient information to the submission:

- 1. Select the **Add** button in the Other Ingredients section. The Other Ingredient modal window displays. See Figure 38.
- 2. Enter the other ingredient information in the available fields.
- ► Note: Fields marked with asterisks (*) are required fields.
- ► Note: You may enter a Quantity (Low) value of 0 (Zero).
- 3. Select the **OK** button. The Other Ingredient modal window closes and the Formula tab displays. The ingredient is added in the Other Ingredients section. See Figure 39.

Figure 39: Create a New Uniform – Other Ingredient Information Added

ME GROUP LOW HIGH UNIT TYPE DESCRIPT
M Extracted Concentrate 1.0 Percentage Other

4. Repeat the steps to add all other ingredient information to the submission.

► Note: Select the <u>Ingredient Name</u> link to display the Other Ingredient modal window and edit the ingredient. Select the checkbox next to the other ingredient and select the **Delete** button to delete the ingredient. A confirmation message box displays prompting you to confirm your action. See Figure 40. Select the **OK** button to confirm.

Figure 40: Create a New Uniform – Delete Other Ingredient Confirmation

Message fr	rom webpage
?	Are you sure you want to delete the selected ingredients? If yes select Ok.
	OK Cancel

► Note: See <u>Add/Edit Attachments (for all ingredients)</u> to attach a file specific to this ingredient.

Add/Edit Attachments (for all ingredients)

Figure 41: Create	a New Uniform	- Attachment	Modal	Window
-------------------	---------------	--------------	-------	--------

Add Attachme	ent for Ingredient	×
* Description	:	\sim
Ingredient: * Type:	character(s) left POM Fermented Seeds (Select Type	
* File:	OK Cancel	Browse

Follow these steps to add/edit attachments for all ingredients:

- 1. Select the checkbox next to the ingredient.
- 2. Select the **Add Attachment** button. The Attachment modal window displays. See Figure 41.
- 3. Enter the attachment information in the available fields.

► Note: Fields marked with asterisks (*) are required fields.

- 4. Select the **Browse** button to browse and select a file specific to this ingredient.
- 5. Select the **Open** button to attach the selected file.
- 6. Select the **OK** button. The Attachment modal window closes and the Ingredient modal window displays. The attachment is added in the ingredient documents section. See Figure 42.

Түре	NAME DATE	
Other	Attachment containing information about POM 04/23/2015 Fermented Seeds ingredient	

► Note: Select the <u>edit</u> link next to the attachment to display the Attachment modal window and edit the attachment.

Ingredients (Group Ingredients)

Follow these steps to group ingredients by type:

▶ Note: You cannot "share" ingredients across groups. You may only group ingredients within the same types (e.g., flavors with flavors). This is typically used to indicate that, for a given batch, only one of the ingredients in the group will be used. The ingredients are so similar that they are interchangeable and would <u>not</u> require a change in formulation

1. Select the checkboxes next to the ingredients within types. See Figure 43.

Figure 43: Create a New Uniform – Group Ingredients Selected

						COMPANY	TTB		
	GROUP	Low	Нідн	UNIT	Туре	COMPND?	FORMULA #	FORMUL/ ID	
POM Saffron Flavor		1.0		Percentage	Natural		-		
POM Rose Flavor		1.0		Percentage	Natural		-		

2. Select the **Group** button. A confirmation message box displays prompting you to confirm your action. See Figure 44.

Figure 44: Create a New Uniform – Group Ingredients Confirmation

Message from webpage	×
Are you sure you want to group the sele Ok.	ected ingredients? If yes select
	OK Cancel

3. Select the **OK** button to confirm. The confirmation message box closes and the Ingredient Group modal window displays. See Figure 45.

Figure 45: Create a New Uniform – Ingredient Group Modal Window

Group Ingredient	×
Ingredient Group	
Ingredient Group	
* Group Name: POM Flavor Group Description: POM Flavor Ingredients	
OK Cancel	

- 4. Enter the group information in the available fields.
- ► Note: Fields marked with asterisks (*) are required fields.

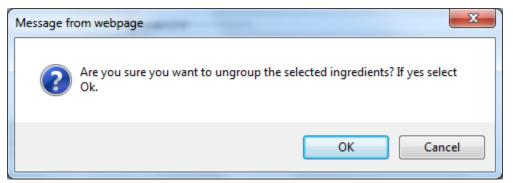
5. Select the **OK** button. The Ingredient Group modal window closes and the Formula tab displays. The group name is added in the ingredient section. See Figure 46.

Figure 46: Create a New Uniform – Ingredient Group Information Added

			QUANTITY				COMPANY	TTB
	GROUP	Low	Нідн	UNIT	Түре	COMPND?	FORMULA #	Formul ID
POM Saffron Flavor	POM Flavor	1.0		Percentage	Natural		-	
POM Rose Flavor	POM Flavor	1.0		Percentage	Natural			

▶ Note: To ungroup ingredients, select the checkboxes next to the ingredients and select the **Ungroup** button. A confirmation message box displays prompting you to confirm your action. See Figure 47. Select the **OK** button to confirm. The confirmation message box closes and the group name is removed from the ingredient section.

Figure 47: Create a New Uniform – Ungroup Ingredients Confirmation



Method of Manufacture

Figure 48: Create a New Uniform – Method of Manufacture

r* Method of Manufacture 0	
Show in sequence each step employed in producing the product including the step at which the specified materials will be added and the approxin complete production read more	nate period of time to
Description:	
The Fomegranate Wine is added to the blending tank. The ingredients listed in the formula are added. The mixture is refrigerated for approximately 1-2 hours until solid. Product is then thawed at room temperature and bottled.	
1	

Show in sequence each step employed in producing the product including the step at which the specified materials will be added and the approximate period to complete production.

Malt Beverages Only

Describe in detail each special process used to produce a beer product. Omit processes customarily used in brewing such as pasteurization or ordinary filtration.

See Figure 49 – Figure 51 for Distilled Spirits, Wine, and Malt Beverage method of manufacture examples.

Figure 49: Distilled Spirits Method of Manufacture Example

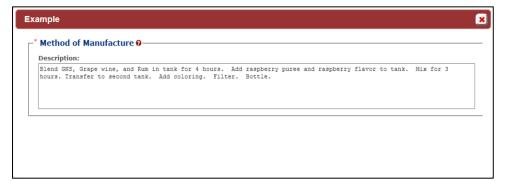


Figure 50: Wine Method of Manufacture Example

ample
Method of Manufacture O
Description:
We take Grape wine and add it to blending tank. We add flavor ingredients, green beans and coriander. We let stand for 15 hours under constant mixing. We filter wine and add coloring, and sulfite. Product is force carbonated to no less than .392 grams per 100 mL. Product is pasteurized and bottled.

Figure 51: Malt Beverage Method of Manufacture Example

Example	×
_ [*] Method of Manufacture ⊖	
Description:	
Place grains in brew kettle. Once boiled is completed, pump wort into fermentation vessel. Begin fermentation. 20 minutes into fermentation, add hops. Upon completion of fermentation, pump beer into blending tank, filter, and add caramel coloring.	

► Note: You must attach a Method of Manufacture before you may submit a Uniform submission with samples. You must enter a Method of Manufacture description OR attach a Method of Manufacture before you may submit a Uniform submission without samples.

Follow these steps to add Method of Manufacture information to the submission:

- 1. Enter the method of manufacture description or enter "see attached" in the text box and attach method of manufacture. See <u>Uploading Attachments and Linking Submissions</u> for information on uploading attachments. You must make sure the Type selected is **Method of Manufacture.**
- 2. Select the **Next** button to proceed with the submission creation. The Samples tab displays. See Figure 52.

Sample Detail

Uniform 🧕								
Main Formula	Samples	Company	Comments	Docs/Links				
Print Comment	<u>Upload</u>							
_Sample Detail €)———							
SAMPLE ID	LIMS ID	QUANTITY	UNIT	% FILL	DESCRIPTION OF CONTENTS	DATE SENT	DATE RECEIVED	
					Add			
Perjury Statemer		r, I declare that a	all the statemen	ts appearing on th	is application, including suppleme	ntal documents, a	are true and correct to the best of my	
knowledge and be	ilief. I also certi	fy that I have rea	ad, understood,	and complied with	n the conditions and instructions fo	r filing this applic	ation.	
			« Previous	Save as Dr	aft Validate Cancel Su	bmit		

▶ Note: Submissions may require you to mail a sample of the product for laboratory analysis, depending on the source, class and type of the product selected on the Main tab. If you will be providing a sample, it must be added to the submission through the Samples tab.

Follow these steps to add a sample to the submission:

1. Select the **Add** button in the Samples tab. The Sample modal window displays. See Figure 53.

Sample 🔀
Sample
Sample Information
Sample ID:
Quantity:
Unit of Measure:
% Fill:
* Description:
Date Sent:
OK Cancel

Figure 53: Create a New Uniform – Sample Modal Window

- 2. Enter the sample information in the available fields.
- ► Note: Fields marked with asterisks (*) are required fields.
- 3. Select the **OK** button. The Sample modal window closes and the Sample tab displays. The sample is added in the Sample Detail section. See Figure 54.

Uniform o									
Main	Formula	Samples	Company	Comments	Docs/Links				
<u>Print</u>	<u>Comment</u>	<u>Upload</u>							
Sam	ole Detail 0								
	Selected Sam	-	~	Go					
	SAMPLE ID	LIMS ID	QUANTITY	UNIT	% FILL	DESCRIPTION OF CONTENTS	DATE SENT	DATE RECEIVED	
	<u>Pending</u>		1.0	liter		POM Sample			
					Add	Delete			
Perju	Perjury Statement								
Under the penalties of perjury, I declare that all the statements appearing on this application, including supplemental documents, are true and correct to the best of my knowledge and belief. I also certify that I have read, understood, and complied with the conditions and instructions for filing this application.									
				« Previous	Save as Draft	Validate Cancel Sub	mit		

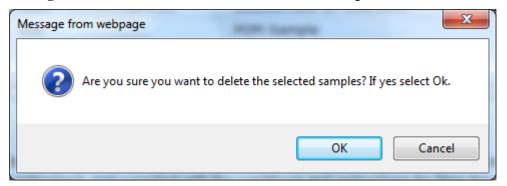
Figure 54: Create a New Uniform – Samples Tab with Sample Added

4. Repeat the steps to add each sample you are sending to TTB.

► Note: The system generates submission ID and sample IDs after you save as draft or submit. Until then, sample IDs appear as "Pending." The system prompts you to generate the sample ID sheet once you submit. When it does, enter dates sent, print sample ID sheets, and affix them to the physical samples or include them in the mailing package.

► Note: Select the <u>Sample ID</u> link to display the Sample modal window and edit the sample. Select the checkbox next to the sample and select the **Delete** button to delete the sample. A confirmation message box displays prompting you to confirm your action. See Figure 55. Select the **OK** button to confirm.

Figure 55: Create a New Uniform – Delete Sample Confirmation



Validate/Save as Draft

Before submitting, you may either:

1. Validate (check for errors without saving or submitting as final to TTB) to ensure that you have completed the submission correctly. Select the **Validate** button. Red error messages display indicating any issues found with the submission. See Figure 56. Alternatively, a green message displays indicating no issues were found with the submission. See Figure 57.

Correct any errors and repeat this step until the submission is successfully validated. You may save as draft with errors, but you must correct all errors before submitting a draft.

Figure 56: Create a New Uniform – Unsuccessful Validation Message

Uniform o							
Errors:							
Docs/Links Tab							
• This submission requires a method of manufacture on company letterhead or a TTB Form 5100.51 signed by the producer. Please use the upload function to attach one of these documents and select 'Method of Manufacture' when you are prompted for Type.							
Main Formula Samples Company Comments Docs/Links							

Figure 57: Create a New Uniform – Successful Validation Message

Uniform o									
Validation is successful - no errors were found.	Validation is successful - no errors were found.								
Main Formula Samples Company Comments	Docs/Links *								

or

 Save as Draft if you are not yet ready to submit, or if you are the Preparer/Reviewer (since only a Submitter may actually submit the submission). Select the Save as Draft button. Formulas Online stores your submission until you or an authorized Submitter return to finalize the submission. See Figure 58.

Figure 58: Create a New Uniform – Save As Draft Confirmation

Uniform o									
Informational Messages									
• Your submission was successfully saved as a draft. It will not be forwarded to TTB for review until you submit. If it remains a draft for over 30 days, it will be automatically deleted.									
Main Formula Samples Company Co	omments Docs/Links *								

Submit Uniform Submission

Follow these steps to submit your uniform submission:

1. Select the Perjury Statement checkbox at the bottom of any tab to acknowledge you have read, understood, and complied with instructions for filing the application. See Figure 59.

Figure 59: Create a New Uniform – Perjury Statement

Perjury Statement
Duder the penalties of perjury, I declare that all the statements appearing on this application, including supplemental documents, are true and correct to the best of my knowledge and belief. I also certify that I have read, understood, and complied with the conditions and instructions for filing this application.
Save as Draft Validate Cancel Submit

2. Select the **Submit** button. The Submission Confirmation page displays with links to the Submission ID, Unauthorized Users tab, and the Samples tab (where applicable). The uniform submission is successfully submitted. See Figure 60.

Figure 60: Create a New Uniform – Submission Confirmation

Uniform o	
Thank You. Your submission has been successfully completed. You will be contacted by e-mail when analysis has been completed or if additional information is neede	ed.
When referring to this formula in Formulas Online and COLAs Online, please use the following. TTB Formula ID: 1269504.	
To mail your samples, return to the Samples screen to display printable sample identification labels with mailing instructions.	
By default, all COLAs users who are registered for the company submitting this formula will be able to reference this formula on COLA e-applications after the formula To optionally disable certain COLAs users from referencing this formula, you may open the Unauthorized Users tab to select those users.	a is approved.
We collect this information to verify your compliance with Federal laws and regulations that TTB administers. The information collected on this form must be considered confidential tax information under 26 U.S.C. 6103, an disclosed to any unauthorized narty under 26 U.S.C. 7113.	1d must not be

TTB will contact you by e-mail when analysis has been completed or if additional information is needed.

► Note: Unauthorized Users data is used by COLAs Online. By default, unless you exclude them, all COLAs Online users who are registered for this company will be able to reference this approved formula on a COLAs Online e-application. You may use the Unauthorized Users function to disable given users. This is not common. See <u>Unauthorized Users Tab</u> for more information.

3. Select the <u>return to the Samples</u> link in the submission confirmation to enter sample sent dates and print sample ID sheets if you included samples in the submission.

► Note: Only users with authenticated Submitter roles may submit submissions. Users with authenticated Preparer/Reviewer roles for any of the permit numbers included with the submission may perform all other submission preparation functions, but are not allowed to submit as final to TTB for processing.

Unauthorized Users Tab

Uniform o									
Main Formula	Samples Con	mpany Comme	nts Docs/Links *	Unauthorized Users					
Copy as New Print	Copy as New Print Comment Notify Upload Withdraw								
Submission ID: Status:	1334492 Items Pending		TTB Formula ID:	1269504	Date Submitted:	04-24-2015 07:42 AM			
COLAS USER ID	USER N	АМЕ							
	Ad	id Delete							
				Save					

Figure 61: Create a New Uniform – Unauthorized Users Tab

The Unauthorized Users tab will only display <u>after</u> you have submitted the submission. This tab allows you to restrict an individual's use of this formula in COLAs Online.

Follow these steps to restrict an individual's access to this formula:

1. Select the Add button. The Add Unauthorized User modal window displays. See Figure 62.

Figure 62: Create a New Uniform – Add Unauthorized Users Modal Window

Unauthorized Users		×						
Add Unauthorized Users								
_Add Unauthorized	COLAs Users							
	User Name							
13594	Anna Sari							
12263	KRIS PERRY							
13635	Gabel Cete							
13623	Jane Smith							
Add Cancel								

- 2. Select the checkbox next the user you want to designate as "unauthorized."
- 3. Select the **Add** button. The Add Unauthorized Users modal window closes and the Unauthorized Users tab displays with the unauthorized user's name. See Figure 63.

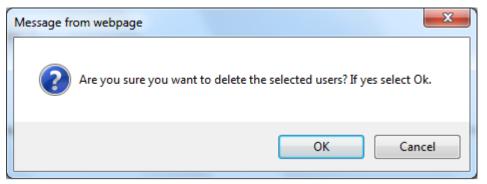
Figure 63: Create a New Uniform – Unauthorized User Tab with User Added

Unifor	Uniform o									
Main	Formula	Samples	Company	Comments	Docs/Links *	Unauthorized Users				
Copy as N	Copy as New Print Comment Notify Upload Withdraw									
Submission ID: Status:		1334492 Items Pending		TTB Formula ID:		1269504	D	ate Submitted:	04-24-2015 07:42 AM	
	COLAS USER ID		USER NAME							
122	63	KRIS PERRY								
		Add		Delete						
						Save				

4. Select the **Save** button.

▶ Note: Select the checkbox next to the user and select the **Delete** button to delete the user. A confirmation message box displays prompting you to confirm your action. See Figure 64. Select the **OK** button to confirm.

Figure 64: Create a New Uniform – Delete Unauthorized User Confirmation



Enter a Sample Sent Date for Uniform Submissions

See Entering Sample Sent Date for sample sent date instructions.

Print a Sample ID Sheet for Uniform Submissions

See Printing Sample ID Sheets for sample sending instructions.

Comments and Docs/Links for Uniform Submissions

See <u>Adding, Editing, and Deleting Comments</u> for comment instructions. See <u>Uploading</u> <u>Attachments and Linking Submissions</u> for upload and link instructions.

Edit, Correct, and Resubmit a Uniform Submission

<u>Before submitting</u>, you may edit the submission at will. <u>After submitting</u>, you will be unable to edit until/unless TTB informs you that your submission needs correction. See <u>Editing</u>, <u>Correcting</u>, and <u>Resubmitting Submissions</u> for editing, correction, and resubmission instructions.

Copy an Existing Uniform Submission

See <u>Copying Existing Submissions</u> for copy instructions.

Print a Uniform Submission

See Printing Submissions for print instructions.

Withdraw a Uniform Submission

See	Withdraw a	a Submission	for instruction	s on how to	withdraw	an active	submission	prior to
TTE	B process co	ompletion.						